



Australian Government

Department of Immigration and Border Protection

# Declaration in relation to complying significant and premium investments

Form  
**1413D**

**IMPORTANT:** You may wish to seek independent legal advice in relation to ensuring that an investment made for the purpose of a subclass 188 (Significant Investor and Premium Investor streams) visa is a 'complying significant investment' or a 'complying premium investment' within the terms of the Migration Regulations 1994, in particular, *Migration (IMMI 15/100: Complying Investments) Instrument 2015*.

This form is relevant to subclass 188 (Significant Investor and Premium Investor streams) visa applications **lodged on or after 1 July 2015**.

For applications lodged before 1 July 2015, please use form 1413 *Declaration in relation to managed funds*.

## Important information about privacy

Your personal information is protected by law, including the *Privacy Act 1988*. Important information about the collection, use and disclosure (to other agencies and third parties, including overseas entities) of your personal information, including sensitive information, is contained in form 1442i *Privacy notice*. Form 1442i is available from the department's website [www.border.gov.au/allforms/](http://www.border.gov.au/allforms/) or offices of the department. You should ensure that you read and understand form 1442i before completing this form.

## About this form

This form has 5 parts.

- *Part A – Client details*
- *Part B – Significant Investor visa stream – Declaration in relation to venture capital and growth private equity funds* to be completed by the relevant fund manager
- *Part C – Significant Investor visa stream – Declaration in relation to emerging companies investments* to be completed by the relevant fund manager
- *Part D – Significant Investor visa stream – Declaration in relation to balancing investments* to be completed by the relevant fund manager
- *Part E – Premium Investor visa stream – Declaration in relation to complying premium investments* to be completed by the relevant fund manager – for investments partially or wholly made through a managed fund.

## Part A – Client details

**1** Full name  
Family name

Given names

  

Date of birth 

Day	Month	Year
/	/	

Residential address

  
  
  
  
 Postcode

Telephone numbers

Office hours 

Country code	Area code	Number
( )	( )	

Mobile/cell

DIBP Client ID number (if known)

**2** Type of application (tick one box only)

Subclass 188 Significant Investor visa stream  The fund manager(s) to complete **Parts B, C and D**

Subclass 188 Premium Investor visa stream  The fund manager to complete **Part E**

**Part B – Significant Investor visa stream**  
**– Declaration in relation to venture capital and growth private equity funds**

**Venture capital fund(s) details**

**To be completed by the relevant fund manager**

**1** Name of the venture capital fund

**2** Fund registration / licence number

**3** Name of the fund manager

**4** Telephone number  
Country code    Area code    Number  
(        ) (        )

**5** AFS licence number

**6** Investment amount

**Declaration**

**7** I hereby notify the Department of Immigration and Border Protection, that the venture capital fund(s) listed at Part B Question 1 meet(s) the requirements set out in Legislative Instrument IMMI 15/100: Complying Investments.

The venture capital fund will be limited to investing a subclass 188 Significant Investor visa stream applicant's funds of a total of at least AUD500,000 in one or more venture capital funds in accordance with the requirements at section 8 and the General Requirements set out in section 11 of the Legislative Instrument IMMI 15/100: Complying Investments.

I understand that giving false or misleading information is a serious offence.

I acknowledge that I may be contacted about the information provided on this form and the investments made for a subclass 188 Significant Investor visa stream applicant.

**Signature of declaring fund manager**

Name

Position

Date

Day    Month    Year

**Part C – Significant Investor visa stream  
– Declaration in relation to emerging  
companies investments**

**Managed fund(s) details**

**To be completed by the relevant fund manager**

**1** Name of the managed fund


**2** Fund registration / licence number (ARSN number or ASX code)

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**3** Name of responsible entity / trustee / issuer

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**4** Telephone number

	Country code	Area code	Number
	(     )	(     )	

**5** AFS licence number of responsible entity / trustee / issuer

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**6** Address of responsible entity / trustee / issuer

Postcode

**7** Investment amount

AUD
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**Declaration**

**8** I hereby notify the Department of Immigration and Border Protection, that the managed fund(s) listed at Part C Question 1 meet(s) the requirements set out in Legislative Instrument IMMI 15/100: Complying Investments, made pursuant to regulation 5.19C of the Migration Regulations 1994.

*The managed fund will be limited to investing a subclass 188 Significant Investor visa stream applicant's funds of a total of at least AUD1,500,000 in emerging companies in accordance with the requirements at section 9 and the General Requirements set out in section 11 of the Legislative Instrument IMMI 15/100: Complying Investments.*

*I understand that giving false or misleading information is a serious offence.*

*I acknowledge that I may be contacted about the information provided on this form and the investments made for a subclass 188 Significant Investor visa stream applicant.*

**Signature of declaring fund manager**


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Name

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Position

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Date

Day	Month	Year
/	/	/

**Part D – Significant Investor visa stream  
– Declaration in relation to balancing  
investments**

**Managed fund(s) details**

**To be completed by the relevant fund manager**

**1** Name of the managed fund

Centuria Healthcare Property Fund

**2** Fund registration / licence number (ARSN number or ASX code)

ARSN 638 821 360

**3** Name of responsible entity / trustee / issuer

Centuria Property Funds No.2 Limited ABN 38 133 363  
185

**4** Telephone  
number

Country code Area code Number  
(61 ) (2 ) 8923 8923

**5** AFS licence number of responsible entity / trustee / issuer

340 304

**6** Address of responsible entity / trustee / issuer

Level 41 Chifley Tower, 2 Chifley Square  
Sydney NSW Australia

Postcode 2000

**7** Investment amount

AUD

**8** What asset classes are you investing in?

- Equities   
Bonds and notes   
Annuities   
Real property   
Multi-asset classes  List below

Unlisted Property - Direct Property, property funds,  
REITs, cash and cash like products

**Declaration**

**9** I hereby notify the Department of Immigration and Border Protection, that the managed fund(s) listed at Part D Question 1 meet(s) the requirements set out in Legislative Instrument IMMI 15/100: Complying Investments, made pursuant to regulation 5.19C of the Migration Regulations 1994.

The managed fund will be limited to investing any remaining portion (balancing investment) of a subclass 188 Significant Investor visa stream applicant's funds in accordance with the requirements at section 10 and the General Requirements set out in section 11 of the Legislative Instrument IMMI 15/100: Complying Investments.

I understand that giving false or misleading information is a serious offence.

I acknowledge that I may be contacted about the information provided on this form and the investments made for a subclass 188 Significant Investor visa stream applicant.

**Signature of  
declaring fund  
manager**



Name Anna Kovarik

Position Company Secretary

Day Month Year  
Date 04 / 08 / 2020

**Part E – Premium Investor visa stream  
– Declaration in relation to complying  
premium investments**

**Managed fund(s) details**

**To be completed by the relevant fund manager – only for  
investments partially or wholly made through a managed fund**

**1** Name of the managed fund


**2** Fund registration / licence number (ARSN number or ASX code)

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**3** Name of responsible entity / trustee / issuer


**4** Telephone number

Country code	Area code	Number
(       )	(       )	

**5** AFS licence number of responsible entity / trustee / issuer

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**6** Address of responsible entity / trustee / issuer

Postcode

**7** What asset classes are you investing in?

Equities

Bonds and notes

Annuities

Real property

Proprietary limited companies

Multi-asset classes  ► List below


**Declaration**

**8** I hereby notify the Department of Immigration and Border Protection, that the managed fund(s) listed at Part E Question 1 meet(s) the requirements set out in Part 3 of Legislative Instrument IMMI 15/100: Complying Investments, made pursuant to regulation 5.19D of the Migration Regulations 1994.

The managed fund will be limited to investing any portion of a subclass 188 Premium Investor visa stream applicant's funds in accordance with the General Requirements set out in Part 3 of Legislative Instrument IMMI 15/100: Complying Investments.

I understand that giving false or misleading information is a serious offence.

I acknowledge that I may be contacted about the information provided on this form and the investments made for a subclass 188 Premium Investor visa stream applicant.

**Signature of  
declaring fund  
manager**

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Name 

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Position 

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Date 

Day	Month	Year
/	/	