All correspondence and enquiries to:

Centuria

PO Box R775, Royal Exchange NSW 1225
Tel: 1800 182 257 (within Aust)
Tel: +61 2 7248 6323 (outside Aust)
Email: centuria@getcaruso.com
portal.centuria.com.au

You are required to insert this number
Investing Entity ID

CENTURIA BASS CREDIT FUND APPLICATION PACK

Online application
Go to **centuriainvestor.com/bpcfoffer** and follow the instructions to complete your application.

Postal application
Refer below and follow the instructions to complete your application.

This application form is part of the Information Memorandum (**IM**) dated 1 June 2026 issued by Bass Financial Services Pty Ltd (ACN 617 409 588, AFSL 499339, Bass Financial, Trustee, we, us our) as the Trustee of the Centuria Bass Credit Fund (**Fund**). You should read the IM and the Application Form together in full before applying to invest as it provides important information about investing in the Fund.

Any person who gives another person access to this application form must at the same time and by the same means, give the other person access to the IM. The Offer to which the IM relates is only available to eligible Investors receiving a copy of the IM (electronically or otherwise) in Australia where the Offer may lawfully be made. The Manager reserves the right to accept or refuse any application for investment in the Fund.

Checklist – completing your application form

- [ ] Complete the **Centuria Bass Credit Fund application form** relevant to your investment entity type
- [ ] If required complete the **identification form 1 - individual, joint, sole trader**
- [ ] If required complete the **identification form 2 - Australian companies**
- [ ] If required complete the **identification form 3 - trusts, trustees, SMSFs**
- [ ] Complete the **tax status declaration form.**
- [ ] Complete the **qualified accountant's certificate**
- [ ] **Make payment** using one of the payment methods below.

How to invest
The minimum Investment Amount is $100,000. The Manager may accept initial investments that are less than $100,000 at its discretion. The minimum additional Investment Amount is $100,000.

Payment methods
You will be provided details once your application has been received by our registry administrator, Caruso. You will be provided the below payment options to fund your application. Any delays in receiving your application monies may result in an application being delayed or rejected.

BPAY®

Electronic funds transfer

NOTE: *If you choose electronic funds transfer as your payment method, you must include the unique payment reference provided with your payment instructions. This reference is required to ensure your funds can be correctly matched to your application and to avoid any delay in the allotment of your units.*

Where to send your application
Centuria - C/- Caruso, PO Box, R775 Royal Exchange NSW 1225
If sending by post, your identification documents must be an original certified document, or a copy of the certified original document.
Your application cannot be processed until your Application Form, payment and the required identification documents have been received.

APPLICATION FORM CENTURIA BASS CREDIT FUND

Guide to completing this form.

- Complete the form for each new investor nominated on your application.
- Complete the form in pen using block letters and mark appropriate answers with a cross X or number.
- Any queries please contact the Centuria Investor Services team on **1800 182 257**.

Section 1 INVESTOR IDENTIFICATION

The AML legislation requires the Manager to confirm the identity of each investor. If the Manager is not able to do so, it may not be able to accept your application. If you are an existing Centuria investor, we may already have your AML information on record.

Has the person/entity invested in a Centuria fund previously?

☐ Yes, Investor number

☐ No, i have not invested previously.
In addition to this application form, you also need to complete the investor identification form appropriate to the type of Investor you are, as below.

☐ 1. Individual/joint investor > Complete **identification form 1 – individual, joint or sole trader**

☐ 2. Company > Complete **identification form 2 – Australian companies**

☐ 3. Trust and trustee > Complete **identification form 3 – trusts, trustees and SMSFs**

Section 2 INVESTMENT AMOUNT AND ENTITY DETAILS

Minimum investment amount is $100,000.

Application/commitment AUD $

Separate drawdown notice to call funds with a minimum five business days' notice will be provided.

If you're investing as an individual, the individual trustees of a trust or joint Investors please complete this section.

Individual investor, joint investor 1, company director 1, executor 1 or trustee 1

Given name

Surname

Residential address

Suburb

State

Postcode

Email

Mobile

Date of birth (DD/MM/YYYY)

Joint investor 2, company director 2, executor 2 or trustee 2

Given name

Surname

Residential address

Suburb

State

Postcode

Email

Mobile

Date of birth (DD/MM/YYYY)

Tax file number(s) - individual and joint investors 1 and 2 only

Providing your TFN will ensure tax is not deducted from distributions.

If investor(s) above, are exempt from providing a TFN, please provide the exemption reason below.

If you're investing under a company, corporate trustee, trust or super fund please complete this section.

Name of investing company, association, body or corporate trustee if applicable

ACN

TFN

Account designator (name of super fund, trust, deceased estate or other entity or person)

Provide the ABN and/or TFN of the trust, super fund or named individual.

ABN

TFN

If investor(s) above, are exempt from providing a TFN, please provide the exemption reason below.

If the investing entity is not an Australian resident for tax purposes, specify the country of tax residency

Required by foreign residents for tax purposes.

Complete this section if you are an existing Investor through a company, corporate trustee, trust, super fund.

Has there been any change to the following?

- If a company, the company details including directors, beneficial owners and key stakeholders (greater than 25% of shares).
- If a trust, the trust details including trustee and beneficiaries.

☐ Yes - you must complete the **identification form** referring to your investment entity.

☐ No - complete the **tax status declaration form** (if not completed previously).

Section 3 APPLICANT(S) CONTACT DETAILS (MUST NOT BE ADVISER DETAILS)

These contact details will be used for all investment correspondence. Adviser details are not acceptable unless your adviser holds a Letter of Authority which must be provided by the investor. These contact details will be used for all administration purposes.

Address

Suburb

State

Postcode

Country

Mobile

Email

Section 4 ADVISER DETAILS

Please have your financial adviser complete and sign this section to confirm they hold a current AFS licence and are authorised to deal or advise on managed investment products.

Adviser given name

Adviser surname

Adviser company (if applicable)

Adviser telephone number

Adviser email address

Dealer group name

AFSL number

Adviser signature

Date (DD/MM/YYYY)

Section 5 DISTRIBUTION INSTRUCTIONS

If no election is made, distributions will be automatically reinvested in additional units in the same series. If you wish to change your election, you must notify the Trustee in writing.

I/We elect to receive distributions by:

☐ Distribution reinvestment ☐ Pay to bank account below
If you have an international bank account please contact Centuria Investor Services.

Account name

BSB number

Account number

Name of financial institution

Section 6 SOURCE OF FUNDS

Please outline the purpose of investment (e.g. superannuation, portfolio investment etc.)

Please outline the sources of initial funding and anticipated ongoing funding (e.g. salary, savings, business activity, financial investments, real estate, inheritance, gift, etc.) and expected level of funding activity or transactions

Section 7 PAYMENT DETAILS

These details are required so your payment can be matched to your application form.

Please indicate your payment method:

☐ EFT
> Your reference

☐ BPAY®
> Refer to payment section under 'How to invest'.

Section 8 DECLARATION AND SIGNATURES

I/We acknowledge, declare and agree that by signing this application form:

- I/we have read the IM and I/we agree to be bound by the terms and conditions contained in the IM.
- I/we agree to be bound by the constitution of the Centuria Bass Credit Fund as amended from time to time.
- I/we acknowledge that an investment in the Fund is subject to investment and other risks, including possible delays in repayment and the loss of income and the loss of capital invested.
- I/we acknowledge that the Manager does not guarantee the performance of the Fund or the return or repayment of capital or income.
- I/we represent that all details contained in this application form are complete and accurate.
- I am/we are not, as a result of the law of any place, a person to whom this IM should not be given.
- I/we represent and warrant that, except as agreed with the Manager, I am/we are in Australia in which the offer may lawfully be made and am/are not acting for the account or benefit of another person outside Australia in which it would be unlawful to offer the Units under this IM.
- I/we have personally received the IM accompanied by, or attached to, this application form, which I/we have read and understood before applying to invest in the Fund.
- I/we consent to my/our information being disclosed between those entities outlined in the IM, and to its use for direct marketing (subject to my/our right of opt-out at any time), product management and development, and for other reasonable purposes.
- I/we undertake to provide any information that the Manager reasonably requires for the purposes of the manager obligations under the AML legislation.

***NOTE**: Applications received from companies or corporate trustees must be signed in accordance with their constitution.*

Section 9 SIGNATURES

All authorised signatories to sign. If any to sign is ticked, this will authorise any signatory to operate the account in the future.

☐ Any to sign ☐ All to sign

Full name

Date (DD/MM/YYYY)

☐ Director ☐ Trustee ☐ Company Secretary

☐ Other

Full name

Date (DD/MM/YYYY)

☐ Director ☐ Trustee ☐ Company Secretary

☐ Other

IDENTIFICATION FORM 1: INDIVIDUALS, JOINT, SOLE TRADER

Guide to completing this form.

- Complete the form in pen using block letters and mark appropriate answers with a cross X or number.
- Any queries please contact the Centuria Investor Services team on **1300 50 50 50**.

Section 1 INVESTOR 1 (PERSONAL DETAILS)

Title

Given name

Surname

Residential address (not a PO box)

Suburb

State

Postcode

Postal address (if different to residential address)

Suburb

State

Postcode

Country

COMPLETE IF YOU ARE A SOLE TRADER

Full business name

ABN

Business address (not a PO box)

Suburb

State

Postcode

Section 2 INVESTOR 2 (PERSONAL DETAILS)

Title

Given name

Surname

Residential address (not a PO box)

Suburb

State

Postcode

Postal address (if different to residential address)

Suburb

State

Postcode

Country

Section 3 ACCEPTABLE PRIMARY ID DOCUMENTS (ORIGINALLY CERTIFIED COPIES REQUIRED)

Please complete section 1 (if you do not own a document from section 1, then complete section 2 or 3).

Select **ONE** option from this section only

- ☐ Australian State/Territory driver's licence containing a photograph of the person
- ☐ Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- ☐ Australian passport (a passport that has expired within the preceding two years is acceptable)
- ☐ Foreign passport or similar travel document containing a photograph and the signature of the person*

ACCEPTABLE SECONDARY ID DOCUMENTS (ORIGINALLY CERTIFIED COPIES REQUIRED)

Select **ONE** option from this section only

- ☐ Australian birth certificate
- ☐ Australian citizenship certificate
- ☐ Pension card issued by Centrelink
- ☐ Health card issued by Centrelink

And **ONE** option from this section

- ☐ A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- ☐ A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. Black out the TFN on the certified copy of this document
- ☐ A document issued by a local government body or utilities provider within the preceding three months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)
- ☐ If under the age of 18, a notice that was issued to the individual by a school principal within the preceding three months and contains the name and residential address and records the period of time that the individual attended that school

ACCEPTABLE FOREIGN ID DOCUMENTS

Should only be completed if the individual does not own a document from section 1.

ONE document from this section must be presented

- ☐ Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth*
- ☐ National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued*

**Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.*

IMPORTANT: Please attach a certified, legible copy of the original ID documentation nominated above for each individual (and any required translation).

IMPORTANT: This identification form is now complete. Please proceed to the tax status declaration form.

IDENTIFICATION SECTION 2 – COMPANIES

Guide to completing this form.

- Complete the form for the Company nominated on your application.
- Complete the form in pen using block letters and mark appropriate answers with a cross X or number.
- Any queries please contact the Centuria Investor Services team on **1800 182 257**.

Section 1 COMPANY DETAILS

1.1 General information

Full registered name

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

1.2 Regulatory/listing details

☐ Regulated in Australia (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name

Licence details

☐ Publicly listed company

Name of market/exchange

☐ Majority-owned subsidiary of an Australian listed company

Australian listed company name

1.4 Directors

Only needs to be completed for proprietary companies.

How many directors are there?

Provide full name of each director below.

	Full given name(s)	Surname
1		
2		
3		
4		

If there are more directors, provide details on a separate sheet.

1.5 Beneficial owners

Please provide the details for the individual(s) who ultimately own more than 25% of the company. If there aren't any, provide the names of the individual(s) who directly or indirectly 'control' the company. This section is not required for companies that marked a box in **Section 1.2**.

Beneficial owner 1

Given name

Surname

Date of birth (DD/MM/YY)

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

Beneficial owner 2

Given name

Surname

Date of birth (DD/MM/YY)

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

Beneficial owner 3

Given name

Surname

Date of birth (DD/MM/YY)

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

Beneficial owner 4

Given name

Surname

Date of birth (DD/MM/YY)

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

1.6 Acceptable company ID documents

Attach a certified copy of:

- [ ] The driver's licence **OR** passport for each beneficial owner completed in 1.5. Company details. See The identification form for Individuals for acceptable alternative ID options; and
- [ ] A **copy** of the ASIC extract of the company **OR** a certified copy of the Certificate of Registration.

IMPORTANT: This identification form is now complete. Please proceed to the tax status declaration form.

IDENTIFICATION SECTION 3 – TRUSTS AND TRUSTEES AND SMSFS

Guide to completing this form.

- **Section 1** must be completed for all trusts; **AND** select and complete **ONE** of the following sections for the trustee/s:
  - **Section 2** (applicable sections) – if selected trustee is an individual.
  - **Section 3** (applicable sections) – if selected trustee is an Australian company.
- Complete the form in pen using block letters and mark appropriate answers with a cross X or number.
- Any queries please contact the Centuria Investor Services team on **1300 50 50 50**.

Section 1 TRUST DETAILS

General information

Full name of trust

Full business name (if any)

Country where trust established

1.1 Type of trust

Select only **ONE** of the following trust types and provide the information requested.

☐ Regulated > go to **1.2**.

☐ Unregulated (including family trusts, unit trusts and testamentary trusts) > go to **1.3**.

1.2 Regulated trusts

Type of regulated trust

ARSN/ABN

Country in which trust was established

Full business name (if any) of the trustee in respect of the trust was established

For a Regulated trust (as selected in 1.2) **AND** if the Trust has an Australian Business Number (ABN), no trust documentation is required.

> Go to **2. Type of Trustee**.

1.3 Unregulated trusts

Select only **ONE** of the following trust types and provide the information requested.
Type of unregulated trust

☐ Family trust ☐ Unit trust ☐ Testamentary trust ☐ Other, please specify:

Beneficial owner(s) of the trust (individual(s) that directly or indirectly control the trust e.g. appointer).
If there are more beneficial owners, please provide details on a separate sheet.

Given name

Surname

Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb

State

Postcode

Country

Settlor name (not required if the settlor is deceased or the material asset contribution to the trust by the settlor at the time the trust was established was less than $10,000).

Given name

Surname

Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb

State

Postcode

Country

Beneficiary details
If the trust identifies the beneficiaries by reference to membership of a class, please provide details of the class (e.g. family members of named person).

How many beneficiaries are there?

Provide full name of each director below.

Full given name(s)	Surname
1	
2	
3	
4	

If there are more directors, provide details on a separate sheet.

> Go to **2. Type of Trustee**.

Section 2 TYPE OF TRUSTEE

Select only **ONE** of the following trustee types and provide the information requested.

- [ ] Individual(s) > go to **2.1 Trustee details**.
- [ ] Company > go to **3. Company details**

2.1 Trustee details

How many trustees are there?

Trustee 1

Given name | Surname | Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb | State | Postcode

Principal place of business (if any) (not a PO box)

Suburb | State | Postcode

Trustee 2

Given name | Surname | Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb | State | Postcode

Principal place of business (if any) (not a PO box)

Suburb | State | Postcode

Trustee 3

Given name | Surname | Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb | State | Postcode

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

- Regulated trust with a company as trustee > go to **3. Company details**
- Regulated trust with individual trustee(s) – this identification form is now complete. Please proceed to the tax status declaration form
- Unregulated trust with a company as trustee > go to **3. Company details**
- Unregulated trust with individual trustee(s) – this identification form is now complete. Please proceed to the verification requirements and then complete the tax status declaration form.

Section 3 COMPANY DETAILS

To be completed if trustee is a company.

3.1 General information

Full registered name

ACN or other registration number

Registered office address (not a PO box)

Suburb

State

Postcode

Country

Principal place of business (if any) (not a PO box)

Suburb

State

Postcode

Country

3.2 Regulatory/listing details

Select any categories which apply to the company and provide the information requested.

☐ Regulated in Australia (licensed by an Australian Commonwealth, State or Territory statutory regulator)

Regulator name

Licence details

☐ Publicly listed company

Name of market/exchange

☐ Majority-owned subsidiary of an Australian listed company

Australian listed company name

3.3 Company type

Select **ONE** of the following categories.

☐ Public ☐ Proprietary

3.4 Directors

Only needs to be completed for proprietary companies.

How many directors are there?

Provide full name of each director below.

	Full given name(s)	Surname
1		
2		
3		
4		

If there are more directors, provide details on a separate sheet.

IMPORTANT: This identification form is now complete.

Unregulated trusts with a Company trustee – continue to **3.5. Company details**.

Regulated trusts – proceed to the **tax status declaration form**.

3.5 Company details

Please provide the details for the individual(s) who ultimately own more than 25% of the company. If a beneficial owner is a company. If there aren't any, provide the names of the individual(s) who directly or indirectly 'control' the company. This section is not required for companies that marked a box in **3.2. regulatory/listing details**.

Beneficial owner 1

Given name	Surname	Date of birth (DD/MM/YY)

Residential address (not a PO box)

Country

Beneficial owner 2

Given name | Surname | Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb | State | Postcode

Country

Beneficial owner 3

Given name | Surname | Date of birth (DD/MM/YY)

Residential address (not a PO box)

Suburb | State | Postcode

Country

3.6 Acceptable company ID documents

Attach a certified copy of:

- [ ] The driver's licence **OR** passport for each beneficial owner completed in **3.5. Company details**. See the identification form for individuals for acceptable alternative ID options; and
- [ ] A **copy** of the ASIC extract of the company **OR** a certified copy of the Certificate of Registration.

IMPORTANT: This identification form is now complete. Please proceed to the tax status declaration form.

Section 4 VERIFICATION REQUIREMENTS - UNREGULATED TRUSTS ONLY

4.1 Verification of the trust – unregulated trusts only

If the trust is an unregulated trust selected in 1.1 type of trust, **OR** the trust does not have an ABN:

In order to verify the trust the following is

- [ ] A certified copy of the trust deed or, if not reasonably available
- [ ] A certified extract of the trust deed. Extracts of trust deeds must include the name of the trust, trustees, beneficiaries, settlor/s and appointers (where applicable)

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

4.2 Individual trustee identification documents – unregulated trusts only (certified copies required)

A certified copy of acceptable identification documents are required for **ALL** of the following:

- [ ] **ALL** beneficial owner(s) listed in **1.3 Unregulated trusts**
- [ ] The settlor listed in **1.3 Unregulated trusts** (if any); **AND**
- [ ] **ONE** trustee listed in **2.1 Trustee details** (if any)

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

4.2.1 Acceptable primary ID documents

Complete **4.2.1** (or if the individual does not own a document from **4.2.1**, then complete either **4.2.2** or **4.2.3**).

Select **ONE** option from this section only.

- ☐ Australian State/Territory driver's licence containing a photograph of the person
- ☐ Card issued under a State or Territory for the purpose of proving a person's age containing a photograph of the person
- ☐ Australian passport (a passport that has expired within the preceding two years is acceptable)
- ☐ Foreign passport or similar travel document containing a photograph and the signature of the person

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

IMPORTANT: This identification form is now complete.

4.2.2 Acceptable secondary ID documents

Should only be completed if the individual does not own a document from **4.2.1**.
Complete **4.2.1** (or if the individual does not own a document from **4.2.1**, then complete either **4.2.2** or **4.2.3**).

Select **ONE** option from this section only.

- ☐ A document issued by the Commonwealth or a State or Territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual's name and residential address
- ☐ A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual's name and residential address. Block out the TFN on the certified copy of this document
- ☐ A document issued by a local government body or utilities provider within the preceding three months which records the provision of services to that address or to that person (the document must contain the individual's name and residential address)

4.2.3 Acceptable foreign ID documents

Should only be completed if the individual does not own a document from **4.2.1**.

ONE document from this section must be presented.

- ☐ Foreign driver's licence that contains a photograph of the person in whose name it is issued and the individual's date of birth
- ☐ National ID card issued by a foreign government containing a photograph and a signature of the person in whose name the card was issued

Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.

IMPORTANT: This identification form is now complete.

TAX STATUS DECLARATION FORM

USE A **BLACK PEN**. PRINT IN CAPITAL LETTERS INSIDE THE BOXES.

This form is to be used to record your tax residency in accordance with the *Foreign Tax Compliance Act* (FATCA) and the *Common Reporting Standards* (CRS). Please see 'Other information' for further details and definitions.

Tax information: Tax residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Section 1 INDIVIDUAL(S) OR SOLE TRADER ONLY (ALL OTHER INVESTOR TYPES PROCEED TO SECTIONS 2)

Tax residency rules differ by country. Whether you are a tax resident of a particular country is often (but not always) based on the amount of time you spend in a country, the location of your residence or place of work. For the US, tax residency can be as a result of citizenship or residency.

Tax information

Individual 1
Please answer both tax residency questions

Are you a tax resident of Australia? ☐ Yes ☐ No

Are you a tax resident of another country? ☐ Yes ☐ No

If you are not a tax resident of another country proceed to **Section 3.**

If you are a tax resident of a country other than Australia, please provide your tax identification number (TIN) or equivalent below. If you are a tax resident of more than one country, please list all relevant countries below. If a TIN is not provided, please list **ONE** of the three reasons specified (A,B or C) for not providing a TIN.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country		TIN		If no TIN, list reason A, B or C	
2. Country		TIN		If no TIN, list reason A, B or C	
3. Country		TIN		If no TIN, list reason A, B or C	

If there are more countries, provide details on a separate sheet.

- **Reason A** The country of tax residency does not issue TINs to tax residents
- **Reason B** The individual has not been issued with a TIN
- **Reason C** The country of tax residency does not require the TIN to be disclosed

Individual 2
Please answer both tax residency questions

Are you a tax resident of Australia? ☐ Yes ☐ No

Are you a tax resident of another country? ☐ Yes ☐ No

If you are not a tax resident of another country proceed to **Section 3**.

If you are a tax resident of a country other than Australia, please provide your tax identification number (TIN) or equivalent below. If you are a tax resident of more than one country, please list all relevant countries below. If a TIN is not provided, please list **ONE** of the three reasons specified (A,B or C) for not providing a TIN.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country		TIN		If no TIN, list reason A, B or C	
2. Country		TIN		If no TIN, list reason A, B or C	
3. Country		TIN		If no TIN, list reason A, B or C	

If there are more countries, provide details on a separate sheet.

- **Reason A** The country of tax residency does not issue TINs to tax residents
- **Reason B** The individual has not been issued with a TIN
- **Reason C** The country of tax residency does not require the TIN to be disclosed

Individual 3

Please answer both tax residency questions

Are you a tax resident of Australia? ☐ Yes ☐ No

Are you a tax resident of another country? ☐ Yes ☐ No

If you are not a tax resident of another country proceed to **Section 3**.

If you are a tax resident of a country other than Australia, please provide your tax identification number (TIN) or equivalent below. If you are a tax resident of more than one country, please list all relevant countries below. If a TIN is not provided, please list **ONE** of the three reasons specified (A,B or C) for not providing a TIN.

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country		TIN		If no TIN, list reason A, B or C	
2. Country		TIN		If no TIN, list reason A, B or C	
3. Country		TIN		If no TIN, list reason A, B or C	

If there are more countries, provide details on a separate sheet.

- **Reason A** The country of tax residency does not issue TINs to tax residents
- **Reason B** The individual has not been issued with a TIN
- **Reason C** The country of tax residency does not require the TIN to be disclosed

Section 3 COMPANIES AND NON-SUPERANNUATION TRUSTS

Name of company/non-superannuation trust

If you are a company, please provide the country of incorporation

Provide the ABN, ARBN or ACN for the company

Are you a financial institution? ☐ Yes ☐ No

Are you a tax resident of another country? ☐ Yes ☐ No

If yes, provide the company's global intermediary identification number (GIIN), if applicable

If the company is a financial institution but does not have a GIIN, provide its FATCA status (select **ONE** of the following statuses).

☐ Deemed compliant financial institution ☐ Non reporting IGA financial institution ☐ Exempt beneficial owner

☐ Excepted financial institution ☐ Non participating financial institution ☐ Other (describe the FATCA status in the box provided)

Are you a financial institution that is not an investment entity managed by another financial institution which is not a resident of a participating jurisdiction? ☐ Yes ☐ No If no, proceed to **Section 3**

Are you a public company listed on a stock exchange? ☐ Yes ☐ No If yes, proceed to **Section 3**

Are you an active non financial entity? ☐ Yes ☐ No If yes, proceed to **Section 3**

Are you a passive non financial entity (NFE)? ☐ Yes ☐ No If no, proceed to **Section 3**

If you are a passive NFE, do you have any controlling persons who are resident of another country for tax purposes? ☐ Yes ☐ No If yes, proceed to **Section 3**

Name of controlling person 1

Date of birth (DD/MM/YY) | Country of birth | Country of citizenship

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country ____ TIN ____ If no TIN, list reason A, B or C ☐

2. Country ____ TIN ____ If no TIN, list reason A, B or C ☐

3. Country ____ TIN ____ If no TIN, list reason A, B or C ☐

If there are more countries, provide details on a separate sheet.

Name of controlling person 2

Date of birth (DD/MM/YY) | Country of birth | Country of citizenship

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country		TIN		If no TIN, list reason A, B or C	
2. Country		TIN		If no TIN, list reason A, B or C	
3. Country		TIN		If no TIN, list reason A, B or C	

If there are more countries, provide details on a separate sheet.

Name of controlling person 3

Date of birth (DD/MM/YY)	Country of birth	Country of citizenship

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a tax file number in Australia or a social security number in the US. If a TIN is not provided, please list ONE of the three reasons specified (A, B or C) for not providing a TIN.

1. Country		TIN		If no TIN, list reason A, B or C	
2. Country		TIN		If no TIN, list reason A, B or C	
3. Country		TIN		If no TIN, list reason A, B or C	

If there are more countries, provide details on a separate sheet.

Section 3 SIGNATURES

- I/We acknowledge that the statements made in this form are, to the best of my knowledge and belief, correct and complete.
- I/We acknowledge that the information contained in this form may be reported to the Australian Tax Office and exchanged with tax authorities of another jurisdiction or jurisdictions in which I may be a tax resident where those jurisdictions have entered into Agreements to exchange financial account information.
- I/We undertake to advise you within 30 days of any change in circumstances which affects the tax residency status of the account holder identified in the form or causes the information contained herein to become incorrect or incomplete, and to provide you with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

Signature(s) of securityholders (this must be completed by all security holders)

Individual: This form is to be signed by securityholder.

Joint: Where the holding is in more than one name, all the if the securityholders must sign.

Power of Attorney: To sign as Power of Attorney, you must have already lodged it with the registry. Alternatively, attach a certified copy if the Power of Attorney with this form.

Companies: Two directors, director and company secretary, or sole director and sole company secretary can sign. Please indicate the office held by signing in the appropriate space.

Individual or security holder 1 (Sole Director and Sole Company Secretary)	**Individual or security holder 2** (Director)	**Individual or security holder 3** (Director/Company Secretary)
Date (DD/MM/YYYY)	Date (DD/MM/YYYY)	Date (DD/MM/YYYY)

QUALIFIED ACCOUNTANT'S CERTIFICATE WHOLESALE INVESTOR

Guide to completing this form.

- Investors investing more than A$500,000 in the Fund need not complete this form.
- If there is more than one individual or entity, a separate form is required for each individual or entity.
- **Do not use where investment is for use by a business.**

Section 1 DETAILS

Full legal name of individual or entity (see additional information for guidance)

Address (cannot be PO Box)

Suburb

State

Postcode

Country

Section 2 CONTROLLED ENTITIES (WHERE APPLICABLE)

It is also confirmed for the purposes of the *Corporations Act 2001* (**Corporations Act**) that the above person or entity controls the following companies and/or Trusts:

Full legal name of company/trust	ABN/ACN/ABN (If any)

Section 3 ACCOUNTANT'S DECLARATION

I certify that:

a. The individual or entity whose details are set out in **Section 1** meet the requirements under Chapter 7 (Section 761G(7)(c)) of the Corporations Act by having either:
   - net assets of at least A$2.5 million; **OR**
   - gross income for each of the last two financial years of at least A$250,000 a year.

b. I have provided this certificate based on an examination of the tax returns and/or the financial records of the above entity provided to me or within my control.

c. I confirm that I am a Qualified Accountant (see **additional information**) and a member of one or more of the following professional bodies (tick appropriate box):

☐ CPA Australia (CPA or FCPA)

☐ Chartered Accountants Australia and New Zealand (CA, ACA or FCA)

☐ Institute of Public Accountants (AIPA, MIPA or FIPA)

☐ Eligible foreign professional body (complete the name of eligible investment body below)

d. I comply with this body's continuing professional education requirements.

e. I confirm that I am independent of the above names individuals and/or entities.

Name of qualified accountant (please print)

Accountant membership number

Business address (not a PO box)

Signature

Date (DD/MM/YYYY)

Certificates are valid for up to two years after they are issued.

Additional information

Section 1 - investor types and controlled entities

Below are guidelines on completing the certificate. For each investor type below the options for completing the certificate are shown. Only one option is required for the investor type. Certificates may not be accepted if they are not completed correctly or in line with the guidelines below. Note that a certificate cannot be completed in the name of more than one individual or entity.

Investor type	Example of investing entity	Certificate(s) required
One individual investor	John Michael Smith	**Only option** Certificate 1 = John Michael Smith Controlled entities = N/A
Joint individuals	John Michael Smith and Sarah Kim Smith	**Only option** Certificate 1 = John Michael Smith Controlled entities = N/A **PLUS** Certificate 2 = Sarah Kim Smith Controlled entities = N/A
Company	Smith Pty Ltd	**Option 1** Certificate 1 = Smith Pty Ltd Controlled entities = N/A **Option 2** Certificate 1 = John Michael Smith (controlling person) Controlled entities = Smith Pty Ltd
Trust with a company trustee	Smith Pty Ltd ATF Smith Family Trust	**Option 1** Certificate 1 = Smith Pty Ltd Controlled entities = N/A **Option 2** Certificate 1 = John Michael Smith (controlling person) Controlled entities = Smith Pty Ltd **Option 3** Certificate 1 = Smith Pty Ltd Controlled entities = Smith Family Trust **Option 4** Certificate 1 = Smith Pty Ltd Controlled entities = N/A **Option 5** Certificate 1 = John Michael Smith ATF Smith Family Trust Controlled entities = N/A
Trust with one individual trustee	John Michael Smith ATF Smith Family Trust	**Option 1** Certificate 1 = John Michael Smith Controlled entities = N/A **Option 2** Certificate 1 = John Michael Smith (controlling person) Controlled entities = Smith Family Trust **Option 3** Certificate 1 = John Michael Smith ATF Smith Family Trust Controlled entities = N/A

Trust with multiple individual trustees	John Michael Smith and Sarah Kim Smith ATF Smith Family Trust	**Option 1** Certificate 1 = John Michael Smith Controlled entities = N/A **PLUS** Certificate 2 = Sarah Kim Smith Controlled entities = N/A **Option 2** Certificate 1 = John Michael Smith ATF Smith Family Trust Controlled entities = N/A
SMSF with a company trustee	Smith Pty Ltd ATF Smith SMSF	**Option 1** Certificate 1 = Smith Pty Ltd Controlled entities = N/A **Option 2** Certificate 1 = Smith Pty Ltd (controlling person) Controlled entities = Smith Family SMSF **Option 3** Certificate 1 = John Michael Smith (controlling person) Controlled entities = Smith Pty Ltd
SMSF with multiple individual trustees	John Michael Smith and Sarah Kim Smith ATF Smith SMSF	**Option 1** Certificate 1 = John Michael Smith (controlling person) **PLUS** Certificate 2 = Sarah Kim Smith Controlled entities = N/A **Option 2** Certificate 1 = John Michael Smith ATF Smith Family Trust Controlled entities = N/A

Section 2 - Control

The certificate is your representation that the individual or entity in completed in Section 1 of the certificate meets the net assets or gross income test. To meet the net assets or gross income test you can include the net assets of a company or trust 'controlled' by that person or entity. Control is defined in section 50AA of the Corporations Act and generally follows the accounting definition of that term so that a person may control a company or unit trust yet hold less than 50% of the shares or units. Always include any entities that the individual or entity completed in Section 1 of the certificate controls in the 'controlled entities' section as this will ensure that the certificate can be used for multiple vehicles that the investor wishes to invest through. For example, if John Smith meets the test and he is the sole director of a company (Smith Pty Ltd) which is the corporate trustee of his family trust (Smith Family Trust) then include this company in the 'controlled entities' section as the certificate can then be used for investments by John Smith, Smith Pty Ltd and Smith Pty Ltd ATF Smith Family Trust.

Section 3 - Qualified accountants

A qualified accountant is defined in s88B of the Corporations Act as a person meeting the criteria in a class declaration made by the Australian Securities and Investments Commission (ASIC). This can be located using the following link: **asic.gov.au/regulatory-resources/financial-services/financial-product-disclosure/certificates-issued-by-a-qualified-accountant**.

Section 4 - Eligible foreign professional bodies

Eligible foreign professional bodies as declared by ASIC in the following link and confirmed below (subject to change by ASIC): **asic.gov.au/regulatory-resources/financial-services/financial-product-disclosure/certificates-issued-by-a-qualified-accountant**.

- The American Institute of Certified Public Accountants;
- Association of Certified Chartered Accountants (United Kingdom);
- Canadian Institute of Chartered Accountants;
- Institute of Chartered Accountants of New Zealand;
- The Institute of Chartered Accountants in England and Wales;
- The Institute of Chartered Accountants in Ireland; and
- The Institute of Chartered Accountants of Scotland.

If you are a member of one of the eligible foreign professional bodies listed you must:

- have at least three years' practical experience in accounting or auditing, and
- only provide a certificate for the purposes of s761G(7)(c) of the Corporations Act to a person who is resident in the same country (other than Australia) as yourself.

Section 5 - Currency of certificates

Under Chapter 7 of the Corporations Act certificates are valid for up to two years after they are issued.

WHO CAN INVEST?

To invest in Centuria Bass Funds as a wholesale investor, you need to qualify. A Wholesale investor generally needs to fall within one of the categories below. If you don't fall into one of these categories please contact us, as subject to the law we may still be able to accept your application to invest as a wholesale investor.

How investors qualify to be wholesale investors

1. You provide a qualified accountant's certificate that was obtained within the preceding two years which certifies that you have:
   - net assets of at least $2.5 million; or
   - gross income for each of the last 2 financial years of at least $250,000 a year.
2. The Investor is a company or trust controlled by persons who meet one or both of the criteria in point 1 above, i.e. the person has a qualified accountant's certificate.
3. You invest at least $500,000 in a single offer. This means you are considered wholesale and are not required to provide the above mentioned accountant's certificate.
4. You are a 'professional investor'. This means that you meet one of the following categories:
   - An Australian Financial Services licensee.
   - A person who has or controls gross assets of at least $10 million (including assets held by an associate or under a trust that the person manages).
   - A body regulated by APRA (other than a trustee of a superannuation fund, an approved deposit fund, a pooled Superannuation fund or a public sector superannuation scheme). This category generally includes banks, credit unions, general insurance companies, life insurance companies and friendly societies.
   - A body registered under the *Financial Corporations Act 1974*.
   - A trustee of a superannuation fund (doesn't include SMSFs), an approved deposit fund, a pooled superannuation fund or a public sector superannuation scheme in circumstances where that fund, trust or scheme has net assets of at least $10 million.
   - A listed entity or a related body corporate of a listed entity.
   - An exempt public authority.
5. Centuria considers the Investor to have previous investing experience which qualifies them as a wholesale investor, i.e. if you meet the 'sophisticated investor' criteria under the Corporations Act. Please contact the Centuria Investor Services team for further information on **1800 182 257**.