

All correspondence and enquiries to:

# Centuria

PO Box R775, Royal Exchange NSW 1225  
Tel: 1800 182 257 (within Aust)  
Tel: +61 2 7248 6323 (outside Aust)  
Email: [centuria@getcaruso.com](mailto:centuria@getcaruso.com)  
**portal.centuria.com.au**

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## STANDARD TRANSFER FORM

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USE A **BLACK PEN**. PRINT IN CAPITAL LETTERS INSIDE THE BOXES.

### How to complete this form

A transfer takes place when an investor decides to sell all or part of their investment to another person. A transfer is a change of ownership of units within the same fund. A separate standard transfer form is required to transfer your holding for each registered holding. This standard transfer form is to be used for transfer transactions:

- Change of investor
- Change of trustee for superannuation funds
- Change of investment between individuals/joint/company unitholding/superannuation fund
- Change of custodian
- Change of account designation (where the underlying beneficiary has changed but the legal owner is the same)
- Deceased estates

Transactions which are not transfers should be addressed directly to Caruso Software AU Pty Limited, our registry, in writing. Transactions which are not transfers include:

- Change of name by marriage or divorce
- Change of company name where this is not an account designation
- Consolidation of unitholdings held by the same investor
- Correction to account name due to incorrectly completed application form

For all transfers (other than those between existing investors in the same Fund), this form must be accompanied by a new application form that should be taken from the relevant PDS/IM.

Specific notes on completing the form:

- Reference to number of units to be transferred requires you to fill out the total number being transferred in both words and figures.
- Reference to consideration is the value of the transfer. This is the approximate market value of the unitholding. Pricing can be found on the relevant Fund website at **centuria.com.au**
- In the case of deceased estates, all executors must sign the form.
- If you have any questions on how to complete this form please call **1800 182 257**.

### Where to send your completed form

Please email to **centuria@getcaruso.com** or post to **PO Box R775, Royal Exchange NSW 1225**.

#### Section 1 FUND DETAILS

Name of Fund in which units are held

State or Territory of registration

NSW

#### Section 2 UNIT DESCRIPTION

Unit class if known (for example: Ordinary, Wholesale, Wholesale A)

#### Section 3 QUANTITY OF UNITS

Words

Figures

**Section 4** CONSIDERATION - VALUE OF TRANSFER

\$

Unit price for transfer

Date of transfer (DD/MM/YYYY)

\$

**Section 5** SELLER(S)/TRANSFEROR(S) DETAILS

Investing entity ID(s)

Investing entity name(s)

**Section 6** BUYER(S)/TRANSFeree(S) DETAILS

Investing entity ID(s)

Investing entity name(s)

**Section 7** DECLARATION**This section MUST be signed for your instructions to be executed.**

By signing and submitting this form:

- I/We the registered holder(s) and undersigned transferor(s)/seller(s) for the above consideration do hereby transfer to the transferee(s)/buyer(s) named above the units as specified above standing in my/our name(s) in the books of the above Fund, subject to the conditions on which I/We held the same at the time of signing hereof and I/We the transferee(s)/buyer(s) named above hereby agree to accept those units subject to the same conditions.
- I/We have not received any notice of revocation of the Power of Attorney by death or the grantor or otherwise, under which this transfer is signed (if applicable).

**ALL SELLER(S) MUST SIGN HERE****Signature - Individual or Investor 1**  
Director/Sole Director and sole Company Secretary

Name

Date (DD/MM/YYYY)

**Individual 2**  
Director/Company Secretary

Name

Date (DD/MM/YYYY)

**Individual 3**  
Sole Director (no Company Secretary)\*

Name

Date (DD/MM/YYYY)

\*By signing here I,

warrant that, pursuant to the Corporations Act, the company does not have a Company Secretary and that as the Sole Director I am authorised by the company to complete this form.

**ALL BUYER(S) MUST SIGN HERE**

**Signature - Individual or Investor 1**

Director/Sole Director and sole Company Secretary

Name

Date (DD/MM/YYYY)

**Individual 2**

Director/Company Secretary

Name

Date (DD/MM/YYYY)

**Individual 3**

Sole Director (no Company Secretary)\*

Name

Date (DD/MM/YYYY)

\*By signing here I,

warrant that, pursuant to the Corporations Act, the company does not have a Company Secretary and that as the Sole Director I am authorised by the company to complete this form.

**PRIVACY**

Caruso Software Limited and its subsidiaries and related companies (including Caruso Software AU Pty Ltd, ACN 672 398 382) (together **Caruso, we, us or our**) is a modern fund administrator that provides an online platform for use by private-market fund managers (our **Customers**). When you sign up to use the Caruso platform (either as an investor, or as an employee or agent of our Customers), we will collect your log-in and profile information, including your name, email, password, phone number; information that you input into the Caruso platform; your device information and other authentication metadata; your feedback or queries related to our platform and information about your use of the platform. We generally collect your personal information directly from you, from our Customers or automatically through cookies, analytics or tracking technologies. We will collect and use your personal information in order to facilitate access and use of the Caruso platform and to facilitate the provision of our and our Customers' services to you. If you opt in, we may also use and disclose your personal information for marketing and promotional purposes. You don't have to provide us with your personal information, but if you don't it may mean that you (or the Customer you are representing) will not be able to access or use our platform. If you have any questions about our information handling policies please refer to our Privacy Policy, which includes more information about the way we handle your personal information, who we share your personal information with (including countries outside of Australia where your personal information may be shared), details about how we handle personal information, how to contact us, how to access or correct your personal information and how to make a complaint.